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ST	TEMENT E	BY A	APPLICANT	First Named Inventor	Mills		
				Group Art Unit	1745		
(us	e as many she	ets a	as necessary)	Examiner Name	Kalafut		
Sheet	1	of	31	Attorney Docket Number			

			U.	S. PATENT DOCUM	IENTS	
	Cite No. 1	U.S. Patent Document Kind Code ^w		Name of Patentee of Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures
		Number	(if known)			Appear
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		5,864,322		Pollon et al.	01-1999	

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^{*}EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

¹ Unique citation designation number. ² See attached Kinds of U.S. Patent Documents. ³ Enter Office that issued the document, by the two-letter code (WIPO Standard ST. 3) ⁴ For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁵ Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. ⁶ Applicant is to place a check mark here if English language Translation is attached.

Substitute for	form 1449A/PTO				Comple	ete if Known
			•		Application Number	09/008,947
INFO	RMATION	DI	SCLOSU	RE	Filing Date	01/20/1998
STATEMENT BY APPLICANT					First Named Inventor	Mills
					Group Art Unit	1745
(use as many sheets as necessary)					Examiner Name	Kalafut
Sheet	2	of	31		Attorney Docket Number	

			U.	S. PATENT DOCUM	ENTS	
Examiner Initials*	Cite No. 1	U.S. Patent Docu		Name of Patentee of Applicant of Cited Document	Date of Publication of Cited Document	Pages, Columns, Lines, Where Relevant
			nd Code ^w if known)		MM-DD-YYYY^	Passages or Relevant Figures Appear
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		5,888,414	•	Collins et al.	03-1999	
		5,969,470		Druz et al.	10-1999	
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Substitute	for form 1449A/PTO			Comple	ete if Known
				Application Number	09/008,947
INF	ORMATION	DIS	SCLOSURE	Filing Date	01/20/1998
STA	ATEMENT B	Y A	APPLICANT	First Named Inventor	Mills
				Group Art Unit	1745
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Sheet	3	of	31	Attorney Docket Number	

			F	OREI	GN PATENT DOCL	JMENTS		
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ļ		wo	99/05735	A1	Mills	03-04-1999		
		wo	99/26078	A1	Mills	5-27-1999		
		wo	99/34322	A1	Mills	7-8-1999		
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					Group Art Unit	1745
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Sheet	4	of	31		Attorney Docket Number	

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		wo	02/087291	A2	Mills	10-31-2002		
		wo	02/088020	A2	Mills	11-7-2002		
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		JP	2002008892A		Shimazu	01-2002		

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Substitute for form 1449B/PTO					Complete if Known			
					Application Number	09/008,947		
INI	FORMATION	יום	ودا موا	IDE	Filing Date	01/20/1998		
	ATEMENT B				First Named Inventor	Mills		
					Group Art Unit	1745		
	(use as many she	ets a	s necessary))	Examiner Name	Kalafut		
Sheet	5	of	3		Attorney Docket Number			

		OTHER PRIOR ART — NON PATENT LITERATURE DOCUMENTS	T					
Examine r Initials*	Cite No. 1	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.						
		S. Aaronson, "Hydrino Theory: Which Overturns Quantum Theory, Is in Turn Overturned by Doofusino Theory," http://www.scottaaronson.com/writings/doofusino.html						
		"Atomic Physics Progress Report 1995-1996" (internet page) atompc2.fysik.lth.se/AFDOCS/Progrep956/contents.asp (author and date unknown)						
		"Atomic Spectroscopy" (internet page) physics.nist.gov/Pubs/AtSpec/node20.html (author and date unknown)						
		Baard, Erik. "The Empire Strikes Back." <i>The Village Voice</i> , April 26-May 2, 2000						
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		Baard, Erik, "Hydrino Theorist Gets Nod From NASA-Funded Investigation Eureka?", <i>The Village Voice</i> , Dec. 6, 2002						
		R. W. Bass, "Email from Bob Bass to Randell Mills," August 6, 2000.	h					
Examiner Signature		Date Considered	<u> </u>					

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Substitute	e for form 1449B/PTO	.,		Complete if Known		
Substitute	101 101111 1449B/F 10			Application Number	09/008,947	
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	ATEMENT B			First Named Inventor	Mills	
				Group Art Unit	1745	
	(use as many she	ets a	s necessary)	Examiner Name	Kalafut	
Sheet	6	of	31	Attorney Docket Number		

		OTHER PRIOR ART — NON PATENT LITERATURE DOCUMENTS					
Examine r Initials*	Cite No. 1	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.					
		"The Biggest Venture Capital Conference of the Year is Quickly Approaching," Special to Evening Bullitin 09/29/2006, http://www.theeveningbulletin.com/site/news.cfm?newsid=17263371&BRD=2737&PAG=461&dept_id=57636&rfi=6>					
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		Blacklight Power, Inc., "Second Shareholder Newsletter '97" http://web.archive.org/web/19980212141859/blacklightpower.com/sharenews2.html					
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		Brewer, Shelby T. Book review of "The grand Unified Theory of Classical Quantum Mechanics (Hardcover) by Randell L., Dr. Mills." (internet page) http://www.amazon.com/gp/product/product-description/0963517139/ref=dp proddesc 0/103- 5711659-8507030 (date unknown)					
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Examiner Signature		Date Considered	L				

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¹ Unique citation designation number. ² Applicant is to place a check mark here is English language Translation is attached.

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Substitute for form 1449B/PTO					Complete if Known		
					Application Number	09/008,947	
INI		פום	SCI OSIII	DE	Filing Date	01/20/1998	
INFORMATION DISCLOSURE STATEMENT BY APPLICANT					First Named Inventor	Mills	
					Group Art Unit	1745	
	(use as many she	ets as	necessary)		Examiner Name	Kalafut	
Sheet	7	of	31		Attorney Docket Number		

		OTHER PRIOR ART — NON PATENT LITERATURE DOCUMENTS						
Examine r Initials*	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.						
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		Conrads, et. al. "Emission in the Deep Vacuum Ultraviolet from an Incandescently Driven Plasma in a Potassium Carbonate Cell", <i>Plasma Sources Science and Technology</i> , submitted.						
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Examiner Signature	,	Date Considered	L					

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Substitute	e for form 1449B/PTO			Complete if Known				
Substitute	10/10/11/14495/11/10			Application Number	09/008,947			
INI	FORMATION	יום	SCI OSIIDE	Filing Date	01/20/1998			
	ATEMENT B			First Named Inventor	Mills			
				Group Art Unit	1745			
	(use as many she	ets a	s necessary)	Examiner Name	Kalafut			
Sheet	8	of	31	Attorney Docket Number				

	-	OTHER PRIOR ART — NON PATENT LITERATURE DOCUMENTS	
Examine r Initials*	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T ²
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Examiner Signature		Date Considered	

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Substitute	e for form 1449B/PTO			Complete if Known					
Substitute	3 101 101111 144 <i>3B/1</i> 10			Application Number	09/008,947				
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	ATEMENT E			First Named Inventor	Mills				
			-	Group Art Unit	1745				
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Sheet	9	of 3	1	Attorney Docket Number					

		OTHER PRIOR ART — NON PATENT LITERATURE DOCUMENTS					
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		He-II in Solar Spectrum					
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		"The Hydrogen Atom," http://www.physics.nmt.edu/~raymond/classes/ph13xbook/node208.html					
Examiner Signature		Date Considered	<u></u>				

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Substitute	e for form 1449B/PTO			Complete if Known		
Substitute	5 101 101111 1445 <i>01F</i> 10			Application Number	09/008,947	
INI	FORMATION	וחו	SCI OSIIDE	Filing Date	01/20/1998	
	ATEMENT B			First Named Inventor	Mills	
				Group Art Unit	1745	
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Sheet	10	of	31	Attorney Docket Number		

		OTHER PRIOR ART — NON PATENT LITERATURE DOCUMENTS	
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		Hydrogen News 1999 http://www.ch2bc.org/bulletin/bulletin19991112.htm	
		INRS-Energie at Materiaux XPS facility, http://goliath.inrs-ener.uquebec.ca/tour/	
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		Kreysa, et. al. "A Critical Analysis of Electrochemical Nuclear Fusion Experiments." <i>J. Electroanal. Chem.</i> , Vol 266, 1989, pp. 437-450.	
Examiner Signature		Date Considered	

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Substitute	e for form 1449B/PTO		Complete if Known		
Substitute	9101101111 14435/1-10		Application Number	09/008,947	
INI		DISCLOSURE	Filing Date	01/20/1998	
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			Group Art Unit	1745	
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		OTHER PRIOR ART — NON PATENT LITERATURE DOCUMENTS	
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-	•	NIST's Physical Reference Datasheet, "Energy Levels of Hydrogen and Deuterium," <url hdel="" http:="" index.html="" physics.nist.gov="" physrefdata=""></url>	
	,	Odenthal et al., "The Zeeman Splitting of the 5876 Å Helium Line Studied by Means of a Turnable Dye Laser", <i>Physica</i> , pp. 203-216, 1982	
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INFORMATION DISCLOSURE STATEMENT BY APPLICANT					First Named Inventor	Mills	
					Group Art Unit	1745	
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					Group Art Unit	1745	
					Examiner Name	Kalafut	
Sheet 16		of	3		Attorney Docket Number		

		OTHER PRIOR ART - NON PATENT LITERATURE DOCUMENTS				
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. ,		92. Mills et al., "Energetic Catalyst-Hydrogen Plasma Reaction as a Potential New Energy Source," Central European Journal of Physics, submitted. (Internet Publication Date: June 6, 2003.)				
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		60. Mills et al., "Synthesis of HDLC Films from Solid Carbon," Journal of Materials Science, Vol. 39, (2004), pp. 3309-3318. (Internet Publication Date: May 3, 2002.)	
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		56. R. M. Mayo, R. Mills, "Direct Plasmadynamic Conversion of Plasma Thermal Power to Electricity for Microdistributed Power Applications," 40th Annual Power Sources Conference, Cherry Hill, NJ, June 10-13, (2002), pp. 1-4. (Internet Publication Date: March 28, 2002.)	
		55. Mills et al., "Chemically-Generated Stationary Inverted Lyman Population for a CW HI Laser," European J of Phys. D, submitted. (Internet Publication Date: April 22, 2002.)	
		54. Mills et al., "Stationary Inverted Lyman Population Formed from Incandescently Heated Hydrogen Gas with Certain Catalysts," J. Phys. D, Applied Physics, Vol. 36, (2003), pp. 1504–1509. (Internet Publication Date: March 20, 2002) also submitted to Chem Phys. Letts.	
		53. Mills, "A Maxwellian Approach to Quantum Mechanics Explains the Nature of Free Electrons in Superfluid Helium." Braz. J. Phys, submitted. (Internet Publication Date: June 4, 2002)	
Examiner Signature		Date Considered	

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Cub atitut	e for form 1449B/PTO				Complete if Known		
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	FORMATION FATEMENT B				First Named Inventor	Mills	
					Group Art Unit	1745	
	(use as many she	ets as	necessary)		Examiner Name	Kalafut	
Sheet	23	of	31		Attorney Docket Number		

		OTHER PRIOR ART NON PATENT LITERATURE DOCUMENTS						
Examine r Initials*	Cite No. 1	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T²					
		52. Mills et al., "Bright Hydrogen-Light Source due to a Resonant Energy Transfer with Strontium and Argon Ions," New Journal of Physics, Vol. 4, (2002), pp. 70.1–70.28. (Internet Publication Date: October, 2002)						
		51. Mills et al., "CW HI Laser Based on a Stationary Inverted Lyman Population Formed from Incandescently Heated Hydrogen Gas with Certain Group I Catalysts," IEEE Transactions on Plasma Science, Vol. 31, No., 2, (2003), pp. 236–247. (Internet Publication Date: Feb. 4, 2002.)						
50. Mills et al., "Spectral Emission of Fractional-Principal-Quantum-Energy-Level Atomic Molecular Hydrogen," Vibrational Spectroscopy, Vol. 31, No. 2, (2003), pp. 195–213.								
	49. Mills et al., "Comparison of Excessive Balmer Line Broadening of Inductively and Capacitively Coupled RF, Microwave, and Glow Discharge Hydrogen Plasmas with Certain Catalysts," IEEE Transactions on Plasma Science, Vol. 31, No. 3, (2003), pp. 338–355.							
		48. Mills et al., "Direct Plasmadynamic Conversion of Plasma Thermal Power to Electricity," IEEE Transactions on Plasma Science, October, (2002), Vol. 30, No. 5, pp. 2066–2073. (Internet Publication Date: March 26, 2002.)						
		47. H. Conrads, R. Mills, Th. Wrubel, "Emission in the Deep Vacuum Ultraviolet from a Plasma Formed by Incandescently Heating Hydrogen Gas with Trace Amounts of Potassium Carbonate," Plasma Sources Science and Technology, Vol. 12, (2003), pp. 389.						
		46. Mills et al., "Emission in the Deep Vacuum Ultraviolet from a Plasma Formed by Incandescently Heating Hydrogen Gas with Trace Amounts of Potassium Carbonate," Plasma Sources Science and Technology, Vol 12, (2003), pp. 389–395.						
	45. Mills et al., "Synthesis and Characterization of a Highly Stable Amorphous Silicon Hydride as the Product of a Catalytic Helium-Hydrogen Plasma Reaction," Int. J. Hydrogen Energy, Vol. 28, No. 12, (2003), pp. 1401–1424. (Internet Publication Date: April 15, 2002.)							
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	TATEMENT			First Named Inventor	Mills	
				Group Art Unit	1745	
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Sheet	24	of	31	Attorney Docket Number		

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		44. Mills, et. al. "Synthesis and Characterization of Lithium Chloro Hydride", <i>International Journal of Hydrogen Energy</i> , submitted. (Internet Publication Date: January 7, 2002.)						
		43. Mills et al., "Substantial Changes in the Characteristics of a Microwave Plasma Due to Combining Argon and Hydrogen," New Journal of Physics, www.njp.org, Vol. 4, (2002), pp. 22.1–22.17. (Internet Publication Date: Dec. 27, 2001.)						
		42. Mills et al., "A Comprehensive Study of Spectra of the Bound-Free Hyperfine Levels of Novel Hydride Ion, Hydrogen, Nitrogen, and Air," Int. J. Hydrogen Energy, Vol. 28, No. 8, (2003), pp. 825–871. (Internet Publication Date: Nov. 14, 2001.)						
	 41. Mills et al., "Novel Alkali and Alkaline Earth Hydrides for High Voltage and High Energy Density Batteries," Proceedings of the 17th Annual Battery Conference on Applications and Advances, California State University, Long Beach, CA, (January 15-18, 2002), pp. 1–6. 40. Mills et al., "On the Potential of Direct and MHD Conversion of Power from a Novel Plasma Source to Electricity for Microdistributed Power Applications," IEEE Transactions on Plasma Science, August, (2002), Vol. 30, No. 4, pp. 1568–1578. (Internet Publication Date: Nov. 12, 2001.) 							
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Subatituta	e for form 1449B/PTO		Comp	Complete if Known		
Substitute	e for form 1449B/P10		Application Number	09/008,947		
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		Y APPLICANT	First Named Inventor	Mills		
			Group Art Unit	1745		
	(use as many she	ets as necessary)	Examiner Name	Kalafut		
Sheet	25	of 31	Attorney Docket Number			

•		OTHER PRIOR ART — NON PATENT LITERATURE DOCUMENTS					
Examine r Initials*	Cite No. 1	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T²				
		36. Mills et al., "Emission Spectroscopic Identification of Fractional Rydberg States of Atomic Hydrogen Formed by a Catalytic Helium-Hydrogen Plasma Reaction," Vacuum, submitted. (Internet Publication Date: Oct. 9, 2001.)					
		35. Mills et al., "New Power Source from Fractional Rydberg States of Atomic Hydrogen," Current Appl. Phys., submitted. (Internet Publication Date: Oct. 9, 2001.)					
		34. Mills et al., "Spectroscopic Identification of Transitions of Fractional Rydberg States of Atomic Hydrogen," J. of Quantitative Spectroscopy and Radiative Transfer, in press. (Internet Publication Date: Oct. 9, 2001.)					
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Examiner Signature		Date Considered					

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				Group Art Unit	1745	
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Sheet	26	of	3)	Attorney Docket Number		

		OTHER PRIOR ART — NON PATENT LITERATURE DOCUMENTS			
Examine r Initials*	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T ²		
		28. Mills et al., "Spectral Emission of Fractional Quantum Energy Levels of Atomic Hydrogen from a Helium-Hydrogen Plasma and the Implications for Dark Matter," Int. J. Hydrogen Energy, (2002), Vol. 27, No. 3, pp. 301–322. (Internet Publication Date: Aug. 1, 2001.)			
		27. Mills, et. al. "Spectroscopic Identification of a Novel Catalytic Reaction of Potassium and Atomic Hydrogen and the Hydride Ion Product", <i>International Journal of Hydrogen Energy</i> , Vol. 27, No. 2, (2002), pp. 183-192. (Internet Publication Date: January 11, 2002)			
26. Mills, "BlackLight Power Technology-A New Clean Hydrogen Energy Source with the Potential for Direct Conversion to Electricity," Proceedings of the National Hydrogen Association, 12 th Annual U.S. Hydrogen Meeting and Exposition, Hydrogen: The Common					
25. Mills, et. al. "Minimum heat of formation of potassium iodo hydride." <i>International Journal of Hydrogen Energy</i> , Vol 26, 2001, pp. 1199-1208. (Internet Publication Date: March 23, 2001)					
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22. Mills, "The Grand Unified Theory of Classical Quantum Mechanics," Global Foundation, Inc. Orbis Scientiae entitled The Role of Attractive and Repulsive Gravitational Forces in Cosmic Acceleration of Particles The Origin of the Cosmic Gamma Ray Bursts, (29th 21. Mills, "The Grand Unified Theory of Classical Quantum Mechanics," Int. J. Hydrogen Energy, Vol. 27, No. 5, (2002), pp. 565–590. (Internet Publication Date: Sept. 17, 2001.)					

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Cubatitut	e for form 1449B/P	TO		Complete if Known		
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INFORMATION DISCLOSURE STATEMENT BY APPLICANT				First Named Inventor	Mills	
				Group Art Unit	1745	
	(use as man	v sheets as necessa	ary)	Examiner Name	Kalafut	
Sheet	27	0f 3	1	Attorney Docket Number		

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	·	20. Mills et al., "Argon-Hydrogen-Strontium Discharge Light Source," IEEE Transactions on Plasma Science, Vol. 30, No. 2, (2002), pp. 639–653. (Internet Publication Date: Dec. 7, 2000.)				
•	,	19. Mills et al., "Identification of Compounds Containing Novel Hydride Ions by Nuclear Magnetic Resonance Spectroscopy," Int. J. Hydrogen Energy, Vol. 26, No. 9, (2001), pp. 965–979. (Internet Publication Date: March 22, 2001.)				
	18. Mills, "BlackLight Power Technology-A New Clean Energy Source with the Potential for Direct Conversion to Electricity," Global Foundation International Conference on "Global Warming and Energy Policy," Dr. Behram N. Kursunoglu, Chairman, Fort Lauderdale, FL,					
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		15. Mills et al., "Observation of Extreme Ultraviolet Hydrogen Emission from Incandescently Heated Hydrogen Gas with Certain Catalysts," Int. J. Hydrogen Energy, Vol. 25, (2000), pp. 919–943. (Internet Publication Date: June 27, 2000.)				
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				Group Art Unit	1745		
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Sheet	28	of	31	Attorney Docket Number			

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		12. Mills et al., "Formation of a Hydrogen Plasma from an Incandescently Heated Hydrogen-Catalyst Gas Mixture with an Anomalous Afterglow Duration," Int. J. Hydrogen Energy, Vol. 26, No. 7, July, (2001), pp. 749–762. (Internet Publication Date: June 28, 2000.)					
	11. Mills et al., "Observation of Extreme Ultraviolet Hydrogen Emission from Incandescently Heated Hydrogen Gas with Strontium that Produced an Anomalous Optically Measured Power Balance," Int. J. Hydrogen Energy, Vol. 26, No. 4, (2001), pp. 309–326. (Internet Publication Date: June 27, 2000.)						
	10. Mills et al., "Synthesis and Characterization of Potassium Iodo Hydride," Int. J. of Hydrogen Energy, Vol. 25, Issue 12, December, (2000), pp. 1185–1203. (Internet Publication Date: Nov. 12, 2001.)						
		9. Mills. "Novel inorganic hydride." International Journal of Hydrogen Energy, Vol 25, 2000, pp. 669-683. (Internet Publication Date: June 28, 2000)					
	,	8. Mills et al., "Synthesis and Characterization of Novel Hydride Compounds," Int. J. of Hydrogen Energy, Vol. 26, No. 4, (2001), pp. 339–367. (Internet Publication Date: June 13, 2001.)					
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		4. Mills et al., "Fractional Quantum Energy Levels of Hydrogen," Fusion Technology, Vol. 28, No. 4, November, (1995), pp. 1697–1719. (Internet Publication Date: Nov. 1, 2001.)				
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		Mills, et. al. Fusion Technol. Vol.20, 65 (1991). Internet Publication Date 4/11/01				
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:			Group Art Unit	1745		
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• • •		Mills,. "Author's response 'A possible trick of Hyd Energy, Vol 26, 2001, p. 1225.	dride atom	'," International Journal of Hydrogen			
		Mills, "BLACKLIGHT POWER TECHNOLOGY: A for Direct Conversion to Electricity," International Policy, Ft. Lauderdale, Florida, November 26-28	l Conferen	ce on Global Warming and Energy			
	Mills, "Hydro catalysis Power Technology," Statement of Dr. Randell L. Mills, May, 1993.						
		Mills, "The Grand Unified Theory of Classical Qu	antum Me	chanics," pp. 1-9			
		Mills, "Unification of Spacetime, the Forces, Matter, Energy, Hydro catalysis Power Corporation," 1992, pp. 53-84.					
		Mills, "Author's response to 'Hydrino atom: novel chemistry or invalid physics'," International Journal of Hydrogen Energy, Vol 26, 2001, pp. 1233.					
		Mills,"Author's response to 'Hydrino theory- a proposed amendment'," International Journal of Hydrogen Energy, Vol 26, 2001, pp. 1229-1231.					
	·	Mills."Power Spectrum of the Cosmic Microwave Background" BlackLight Power, Inc. 2001.					
Examiner Signature		Date	e nsidered	<u> </u>			

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					Group Art Unit	1745		
(use as many sheets as necessary))	Examiner Name	Kalafut		
Sheet	31	of	31		Attorney Docket Number			

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		Mills, "The Grand Unified Theory of Classical Quantum Mechanics," pp. 13-14, BlackLight Power, Inc., pp. 433-440, 2001.	
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ATTACHMEN

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RABENAN A			Compensation	Payments	Options	Shares
ATTACHMENT A		Date(s)	Arrangements	Made	Issued	Owned
AKHTAR	·	Date(0)	Employee		EE Options	
(Employee)	Dr. Kamran	_	Salary		Only	None
BALDWIN	Di. Kaiman		Odiary			110110
(NASA)	Dr. R.	-		<u>-</u>	None	None
BONIFACE	. 1					
(Chalk River Labs)	Dr. H.A.	-	_	_	None	None
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BRADFORD	Dik "		One-time			
(PSU Student)	Michael .	9/13/1994	Only	9/13/1994	None	None
CHANG			Employee	·	EE Options	
(Employee)	Dr. Zhixiang	-	Salary	-	Only	None
CHEN					EE Options	
(EE & Consultant)	Dr. Chun-Ku	6/1/04-5/31/05	\$50/hour	2/7/2005	Only	None
CHEN			Employee		EE Options	
(Employee)	Dr. Xuemin	-	Salary	-	Only	None
CLARK			1			
(INP Greifswald)	Dr. H.R.	-	-	_	None	None
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transferred agrmnt	Dr.	0/ 1/00 0/20/00		6/12/01	some options	exercised
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CRAIG	Dr. W.Y.	-	-	-	None	None
CRAW-IVANCO						
(Chalk River Labs)	Dr. M.T.	_	_	<u> </u>	None	None
DAYALAN	DI. III. I		Employee		EE Options	110110
(Employee)	Dr. Ethirajulu	_	Salary	_	Only	None
DHANDAPANI	Dr. Edinajaia		Employee		EE Options	
(Employee)	Dr. Bala	•	Salary	_	Only	None
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(EE & Consultant)	Dr. Jinguan	11/16/04-5/1/505	\$45hour		Only	None
ECHEZURIA			Employee	1	EE Options	
(Employee)	Alejandra	•	Salary		Only	None
FRALICK						
(Semitech)	Dr. G.C.	•	-	-	None	None
FUELLING	DR CONTRACT					
(U Nevada)	Stephen	-	-	-	None	None
GAMBERÁLE	Luca	•	-	-	None	None
GERNERT						
(Thermacore)	Dr. N.J.	•	-	-	None	None
					EE & Officer	
					Options Issued	Shares Owned
GOOD	, ·		Employee		Beginning	Beginning
(EE & Officer)	William	-	Salary	-	9/1/92	1991

		11/1/01-10/31/02	\$50/hour	4/27/01		
		11/1/02-10/31/03	\$50/hour	10/14/02		
		3/8/04-3/7/05	\$50/hour			
		3/8/05-3/7/06	\$50/hour			
GREENIG		3/8/06-3/7/07	\$50/hour		EE Options	
EE & Consultant)	Nelson	3/8/07-3/7/08	\$50/hour		Only	None
HALDEMAN		,				
MIT Lincoln Labs)	Dr. C.W.	-	-		None	None
HE			√Employee		EE Options	
Employee)	Dr. Jiliang	-	Salary	-	Only	None
HICKS			Employee		EE Options	
Employee)	Steven	-	Salary	<u> </u>	Only	None
HILBORN						
Chalk River Labs)	Dr. J.W.	-	-	-	None	None
SELER						
MIT Lincoln Labs)	Dr. G.W.	-	-	-	None	None
		·			Options granted	
JACOX					9/9/96 to 3/3/97;	
INEL)	or Michael				all options expired	None
INEL)	Miletrael,	•	-	ļ <u>-</u>	expired	Purchased shares
						7/2/97;
						gifted to
IANSSON		6/10/02-10/31/02	\$100	10/17/97		ex-wife and son
Rowan U)	Dr. Peter M.	(contract)	\$10	12/31/2002	None	5/1/07
KNEIZYS	S.	-	•	-	None	None
KRYUKOV						
Moscow Power	•					
Engineering					ļ	
nstitute)	Dr. A.P.	<u> </u>	-	-	None	None
					Options granted	•
					9/9/96 to	
KURTZ	ا الله الله	*	-		11/8/02; some	
PSU)	Stewari	-	•	-	expired	None
.EE	D				Nama	A1
U of Ohio)	Dr. Jang-Jung	8/6/01	- \$100/hour	10/01/01	None	None
	j l	5/9/03-5/16/03	\$100/110ur	5/23/03		
		5/27/03-5/30/03	\$80/hour	3/23/03		
		5/30/03-6/30/03	\$45/hour			
_U		7/1/03-7/31/03	\$45/hour		EE Options	
EE & Consultant)	Dr. Ying	8/1/03-1/30/04	\$45/hour		Only	None
MARCHESE		6/10/02-10/31/02	\$10	<u> </u>		
Rowan U)	DR Anthonydd	(contract)	non-material	12/31/2002	None	None
OYAN					EE Options	
EE & Consultant)	Dr. Robert	6/8/01-8/8/01	\$50/hour	7/23/2001	Only	None
MEYERS	_D ,				None	Mone
(NASA)	Dr. I.	•	-	-	None	None
					Options granted	
					9/9/96 to 3/3/97;	•
MILLER	Dī.				all options	
	Alfred		\$250	6/12/1997	expired	None

MILLS	D. Bandoll		Employee Salary	_	EE & Director Options Issued Beginning 9/9/96	Shares Owned Beginning 1991
(EE & Director)	Dr. Randell	3/30/05-3/29/06	\$80/hour		3/3/30	1991
NANSTEEL		3/30/06-3/29/07	\$80/hour	8/24/2005	EE Options	1
	and and an	3/30/06-3/29/07	\$80/hour	1 012412000	Only	None
	Dt. Mark W.	3/30/07-8/31/06	φουπουι ,	 	Offity	None
NESTEROV (Moscow Power			,	1		1
Engineering	1	, t	<i>'</i>	i J	, <u> </u>	(
	Dr. S.B.	· · ·	<u> </u>		None	None
NIEDRA	1	, 	(1	, ,	1
	Dr. J.	ı <u> </u>		<u> </u>	None	None
NONINSKI					, ,	1
(Brookhaven)	Dr. V.	ı - <u> </u>	· `	<u> </u>	None	None
ONUMA			Employee		EE Options	1
	Dr. Takeyoshi	-	Salary	1 - 1	Only	None
					Options granted 4/7/97 to 4/27/98; some options	Purchased shares 9/20/96 & 7/2/97; transferred all shares to ScottishPower Ventures, Inc.
PACIFICORP	1 ,	1	1 _	1 _ '	expired	6/21/07
PETERSON	 	 			1	
	Dr. S.H.	1	1 -	1 . '	None	None
(Wesungnouse)	DI. 3.11.	2/1/96-1/31/97	\$100/hour	 	1,105	
'	1	1/1/97-4/30/97	Options Only	1	1	1
, ' ,	'	3/15/00-3/18/00	\$5,000	4/26/95	1	1
, ·	1	10/8/01-4/8/02	\$125/hour	5/9/00	1 '	1
,	ļ ,	1	\$125/hour	,	('	1
	<u>'</u>	4/8/02-10/8/02	,	1 '	1 '	
,	1	10/9/02-10/8/03	\$125/hour	1	I a arented	.1
	1	10/9/03-10/8/04	\$100/hour	1 '	Options granted	
;	1	10/9/04-10/8/05	\$100/hour	1	9/9/96-1/14/06;	
PHILLIPS	1	10/9/05-10/8/06	\$100/hour	1 '	some options	exercised
	Dr. Jonathan	10/9/06-10/807	\$100/hour	<u> </u>	exercised	9/1/06 & 2/20/07
RAY	,	'	Employee	ſ <u></u>	EE Options	
(Employee)	Dr. Paresh		Salary	<u> </u>	Only	None
	Dr	3/17/03-3/16/04	\$50/hour	'	EE Options	
(EE & Consultant)	Jayasree	3/22/04-3/21/05	\$50/hour	<u> </u>	Only	None
	,			'	'	
SAVOYE	1 '	1	1	1 '	1	
(MIT Lincoln Labs)	Dr. G.W.	1 - '	<u></u>	1 <u>-</u> '	None	None
1	· · ·	1/5/05-1/4/06	\$800/day	,		
SCHARER	·	1/5/06-1/4/07	\$100/hour	1	1	
	Dr. John	1/5/07-1/4/08	\$110/hour	11/14/2005	None	None
		6/10/02-10/31/02	\$10	7		
(Rowan U)	මැ. John (<u>L</u>	(contract)	non-material	12/31/2002	None	None
SHANNON		100	Employee	1.2.2	EE Options	
(Employee)	Tina	1 .	Salary	1 - '	Only	None
SHAUBACH	l IIIa	 		 		
(Thermacore)	Dr. R.M.	,	1 _	_	None	None
SIMMONS	Dr. K.W.	-		 	110.75	1101.5
1	n	1	_		None	None
(Lehigh U)	Dr. G.	•	-	- '	None	None
TREMBLAY	'	1		'	1	
(Chalk River Labs)		•	1 -	- 1	None	None

TURNER						
(Spectral Data)	Dr. Gary L.	•	•	-	None	None
VOIGT	Dr.		-		EE Options	
(EE & Consultant)	Dr. Andress	8/27/04-8/26/05	\$50/hour	<u>-</u>	Only	None
WATTS						
(INEL)	Dr. K.D.	-	_	-	None	None
WEISMANN	Dr. H.	•	•	-	None	None
WIESMANN	Dr. H.	•	-	_	-	-
WRUBEL						
(INP Greifswald)	Dr. Th.	•	-	-	None	None
ZEA			Employee		EE Options	
(Employee)	Dr. Hugo	-	Salary	-	Only	None

ATTACHMENT B

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